

SOC 2 Type 1 *Done simple*

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Hello Brno!

- Shipmonk se nastěhoval do Impacthub Brno!
- Těšíme se na nové tváře a tech talks!
- Shipmonk RnD je nyní v **Praze**, **Brně** a na **Floridě** plus několik fully remote locations

SOC 2 Type 1 *Done Simple*

- What is the SOC 2 compliance good for?
- Why should I start early?
- Compliance automation - new trend?
- How it's helping?

What is SOC 2 compliance good for?



Your customers

SOC 2 is an interface between companies to validate their practices in processing and protecting information



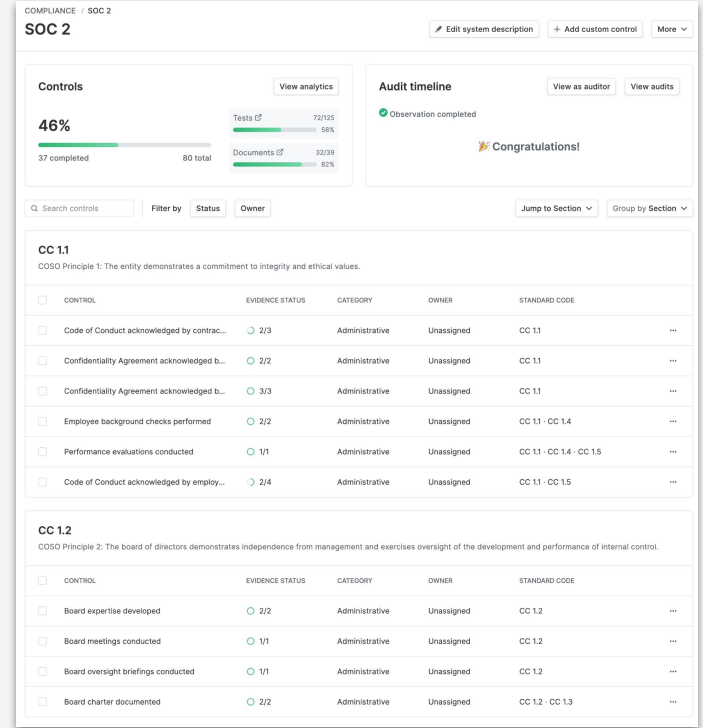
Your company

SOC 2 provides structure into your company. From top to the bottom.



Housekeeping best practices

Onboarding / offboarding structure
Auditing / savings on unused licenses





Starting early

- The best time to start with SOC 2 or similar is when starting the company.
- Onboarding any best practices or standard is always more complicated later (and takes more resources).



**Compliance
automation = win - win**

Compliance automated

Vanta



No papers, word docs or excel sheets

- Fully integrated into your environment - AWS, GSuite, Gitlab
- Automated compliance system provides you real time overview
- The auditor can log into it and get the evidence



Compliance status always available

- Anybody in your team can access anytime and see any issues
- Realtime comparing users, access, licenses, best practices

DRATA



No need to repeat audit every year

- Saving your's and auditors resources

hyperproof

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TESTS

- You can run any tests out of the box
- You can build and integrate your tests
- All the best practices you'd like to see at one place

Monitors: Engineering [Edit SLAs](#)

Q Search Filter by Standard Type Owner Status Integration Control Only upcoming tests Clear

OK 49 **Needs attention** 35

58%

49 OK 84 total

Needs attention

- Overdue 12
- Due soon 1
- Needs remediation (no SLA assigned) 22

<input type="checkbox"/>	NAME	CATEGORY	OWNER	TYPE	STATUS ↓
<input type="checkbox"/>	Tabletop disaster recovery exercise	SOC 2 Engineering	Matěj Smíšek	Document	📅 Oct 31, 2022
<input type="checkbox"/>	Security impact considered in merge requ...	SOC 2 Engineering	Matěj Smíšek	Test	📅 Dec 22, 2022 8 items to remediate
<input type="checkbox"/>	Application changes reviewed	SOC 2 Engineering	Matěj Smíšek	Test	📅 Dec 22, 2022 7 items to remediate
<input type="checkbox"/>	SQL database CPU monitored	SOC 2 Engineering	Matěj Smíšek	Test	📅 Jan 26, 2023 3 items to remediate
<input type="checkbox"/>	SQL database freeable memory monitored...	SOC 2 Engineering	Matěj Smíšek	Test	📅 Jan 26, 2023 3 items to remediate
<input type="checkbox"/>	Database IO monitored (AWS)	SOC 2 Engineering	Matěj Smíšek	Test	📅 Jan 26, 2023 5 items to remediate
<input type="checkbox"/>	SQL database free storage space monitore...	SOC 2 Engineering	Matěj Smíšek	Test	📅 Jan 26, 2023 5 items to remediate
<input type="checkbox"/>	Enabled IAM User Access Keys are not old...	Engineering	Unassigned	Test	📅 Feb 02, 2023 79 items to remediate
<input type="checkbox"/>	IMDSv1 is disabled on EC2 Instances	Engineering	Unassigned	Test	📅 Feb 02, 2023 77 items to remediate
<input type="checkbox"/>	CloudTrail trails have log file integrity valid...	Engineering	Unassigned	Test	📅 Feb 09, 2023 1 item to remediate
<input type="checkbox"/>	Sample of remediated vulnerabilities	SOC 2 Engineering	Unassigned 📄	Document	📅 Feb 13, 2023
<input type="checkbox"/>	Critical vulnerabilities identified in contain...	SOC 2 Engineering	Unassigned	Test	📅 Feb 22, 2023 50 items to remediate
<input type="checkbox"/>	Snowflake accounts associated with users	SOC 2 Engineering	Matěj Smíšek	Test	📅 Mar 21, 2023 4 items to remediate
<input type="checkbox"/>	Application status page	Engineering	Unassigned	Document	—



Policies

- Adopt policies out of the box and customize them
- Create your own - “e.g. Software Development Lifecycle”
- Track the acknowledgement and completion

Policies + Add custom policy Edit SLAs Download language: English More

Q Search by name Filter by Standard Status Type Require my approval Sort by Recommendation

NAME	STANDARDS	STATUS	LAST APPROVED VERSION
Code of Conduct	SOC 2	OK	Sep 19 2022 - 420 out of 865 accepted
Information Security Policy (AUP)	SOC 2	OK	Sep 19 2022 - 420 out of 865 accepted
Information Security Roles and Responsibilities	SOC 2	OK	Sep 19 2022 - 84 out of 99 accepted
Asset Management Policy	SOC 2	OK	Sep 19 2022 - 19 out of 25 accepted
Access Control Policy	SOC 2	OK	Sep 20 2022 - 85 out of 99 accepted
Data Management Policy	SOC 2	OK	Jan 2 2023 - 420 out of 865 accepted
Operations Security Policy	SOC 2	OK	Sep 29 2022 - 82 out of 93 accepted
Human Resource Security Policy	SOC 2	OK	Sep 19 2022 - 8 out of 14 accepted
Physical Security Policy	SOC 2	OK	Sep 19 2022 - 19 out of 25 accepted
Risk Management Policy	SOC 2	OK	Sep 19 2022 - 5 out of 8 accepted
Third-Party Management Policy	SOC 2	OK	Sep 29 2022 - 19 out of 25 accepted
Cryptography Policy	SOC 2	OK	Sep 19 2022 - 82 out of 93 accepted
Business Continuity and Disaster Recovery Plan	SOC 2	OK	Sep 19 2022 - 82 out of 93 accepted
Incident Response Plan	SOC 2	OK	Sep 19 2022 - 82 out of 93 accepted
Secure Development Policy	SOC 2	OK	Dec 5 2022 - 71 out of 82 accepted

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Access management

- Continuously monitor access to your systems - compare against employees, terminated employees
- Save costs
- Improve security

Slack accounts deprovisioned when employees leave Needs attention Last refreshed 44 minutes ago Refresh test More

> 0 Asana tasks Create task

Items to remediate Due date based on SLA of 3 days

The following accounts have not yet been deprovisioned

Needs attention	Deactivated			
<input type="checkbox"/>	<input type="checkbox"/>	NAME	DATE DETECTED	REMEDiate BY
<input type="checkbox"/>	<input type="checkbox"/>	dennis.adams@shipmonk.com	December 09, 2022	December 16, 2022 Due 3 months ago
<input type="checkbox"/>	<input type="checkbox"/>	ricardo.caicedo@shipmonk.com	December 17, 2022	December 24, 2022 Due 3 months ago
<input type="checkbox"/>	<input type="checkbox"/>	kristen.jaap@shipmonk.com	January 06, 2023	January 13, 2023 Due 2 months ago
<input type="checkbox"/>	<input type="checkbox"/>	roxana.romero@shipmonk.com	January 06, 2023	January 13, 2023 Due 2 months ago
<input type="checkbox"/>	<input type="checkbox"/>	ruth.villatoro@shipmonk.com	January 06, 2023	January 13, 2023 Due 2 months ago
<input type="checkbox"/>	<input type="checkbox"/>	erika.gonzalez@shipmonk.com	January 06, 2023	January 13, 2023 Due 2 months ago
<input type="checkbox"/>	<input type="checkbox"/>	alma.diaz@shipmonk.com	January 06, 2023	January 13, 2023 Due 2 months ago
<input type="checkbox"/>	<input type="checkbox"/>	robert.coates@shipmonk.com	January 10, 2023	January 17, 2023 Due about 2 months ago
<input type="checkbox"/>	<input type="checkbox"/>	richard.hughes@shipmonk.com	January 11, 2023	January 18, 2023 Due about 2 months ago
<input type="checkbox"/>	<input type="checkbox"/>	tracy.govan@shipmonk.com	January 12, 2023	January 19, 2023 Due about 2 months ago
<input type="checkbox"/>	<input type="checkbox"/>	pankita.patel@shipmonk.com	January 18, 2023	January 23, 2023 Due about 2 months ago
<input type="checkbox"/>	<input type="checkbox"/>	bhavikaben.patel@shipmonk.com	January 20, 2023	January 27, 2023 Due about 2 months ago
<input type="checkbox"/>	<input type="checkbox"/>	sindia.santos@shipmonk.com	January 20, 2023	January 27, 2023 Due about 2 months ago
<input type="checkbox"/>	<input type="checkbox"/>	suzana.majstorovic@shipmonk.com	February 03, 2023	February 06, 2023 Due about 1 month ago

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Quick demo



Be careful about

- Make it meaningful - meet the internet, don't please the auditors
- Prevent "checkboxes" - these don't help
- Don't build the system in house - there are many options
- Start early

Thank you!

Questions?



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